

Annexure – B

Investor Complaints Data- Stock Broker

Data for every month ending 30th September 2026

S. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
2	Directly from Investors	0	0	0	0	0	0	0
3	SEBI (SCORES 2.0)	0	0	0	0	0	0	0
4	Stock Exchanges	0	0	0	0	0	0	0
5	Other Sources (if any)	0	0	0	0	0	0	0
6	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

Sr.No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	April- 2026	0	0	0	0
2	May -2026	0	0	0	0
3	June -2026	0	0	0	0
4	July- 2026	0	0	0	0
5	August-2026	0	0	0	0
6	Sept -2026	0	0	0	0
7	Oct -2026				
8	Nov -2026				
9	Dec -2026				
10	Jan- 2027				
11	Feb-2027				
12	March-2027				
13	Grand Total	0	0	0	0



Trend of annual disposal of complaints -Broking

S. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
4	2024-25	0	0	0	0
5	2025-26	0	0	0	0
6	2026-27	0	0	0	0
	Grand Total	0	0	0	0

Annexure – C

Investor Complaints Data- Depository Participants

Data for every month ending 30th September 2026

S. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time^
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
2	Directly from Investors	0	0	0	0	0	0	0
3	SEBI (SCORES)	0	0	0	0	0	0	0
4	Depositories	0	0	0	0	0	0	0
5	Other Sources (if any)	0	0	0	0	0	0	0
6	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

Sr.No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	April- 2026	0	0	0	0
2	May -2026	0	0	0	0
3	June -2026	0	0	0	0
4	July- 2026	0	0	0	0
5	August-2026	0	0	0	0
6	Sept -2026	0	0	0	0
7	Oct -2026				
8	Nov -2026				
9	Dec -2026				
10	Jan- 2027				
11	Feb-2027				
12	March-2027				
13	Grand Total	0	0	0	0

Trend of annual disposal of complaints -DP

S. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2022-23	0	0	0	0
6	2023-24	0	0	0	0
7	2024-25	0	1	1	0
8	2025-26	0	0	0	0
9	2026-27	0	0	0	0
	Grand Total	0	1	1	0